

# Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Type or print in ink.

Date Stamp

CALIFORNIA FORM 460

Page 1 of 9

For Official Use Only

CITY OF SUNNYVALE, CA  
CITY CLERK'S OFFICE

2009 FEB -1 A 10: 24

Statement covers period  
from 9/10/18/2009  
through 12/31/2009

Date of election if applicable:  
(Month, Day, Year)  
Nov 3, 2009

SEE INSTRUCTIONS ON REVERSE

### 1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
  - State Candidate Election Committee
  - Recall
  - (Also Complete Part 5)
- General Purpose Committee
  - Sponsored
  - Small Contributor Committee
  - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
  - Controlled
  - Sponsored
  - (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee
  - (Also Complete Part 7)

### 2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement  
(Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

### 3. Committee Information

I.D. NUMBER  
1310525

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Jim Griffith for Council 2009

STREET ADDRESS (NO P.O. BOX)

|           |       |          |                 |
|-----------|-------|----------|-----------------|
| CITY      | STATE | ZIP CODE | AREA CODE/PHONE |
| Sunnyvale | CA    | 94089    | (408) 733-4516  |

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

|      |       |          |                 |
|------|-------|----------|-----------------|
| CITY | STATE | ZIP CODE | AREA CODE/PHONE |
|------|-------|----------|-----------------|

OPTIONAL: FAX / E-MAIL ADDRESS

### Treasurer(s)

NAME OF TREASURER

Glenn K. Hendricks

MAILING ADDRESS

|           |       |          |                 |
|-----------|-------|----------|-----------------|
| CITY      | STATE | ZIP CODE | AREA CODE/PHONE |
| Sunnyvale | CA    | 94087    | (408) 242-8384  |

NAME OF ASSISTANT TREASURER, IF ANY

James Griffith

MAILING ADDRESS

|           |       |          |                 |
|-----------|-------|----------|-----------------|
| CITY      | STATE | ZIP CODE | AREA CODE/PHONE |
| Sunnyvale | CA    | 94089    | (408) 733-4516  |

OPTIONAL: FAX / E-MAIL ADDRESS

### 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 1/31/2009  
Date

Executed on 1/31/2009  
Date

Executed on \_\_\_\_\_  
Date

Executed on \_\_\_\_\_  
Date

By James R. Griffith  
Signature of Treasurer or Assistant Treasurer

By James R. Griffith  
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

Type or print in ink.

COVER PAGE - PART 2

**CALIFORNIA  
FORM 460**

Page 2 of 9

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
James R. "Jim" Griffith

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Sunnyvale City Council Seat 3

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
[REDACTED] Sunnyvale, CA 95089

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

|                      |              |                                                                     |
|----------------------|--------------|---------------------------------------------------------------------|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|----------------------|--------------|---------------------------------------------------------------------|

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

|                       |                     |
|-----------------------|---------------------|
| OFFICE SOUGHT OR HELD | DISTRICT NO. IF ANY |
|-----------------------|---------------------|

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |

Attach continuation sheets if necessary

**Campaign Disclosure Statement  
Summary Page**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

|                                                                                 |                                |
|---------------------------------------------------------------------------------|--------------------------------|
| Statement covers period<br>from <u>910/18/2009</u><br>through <u>12/31/2009</u> | <b>CALIFORNIA<br/>FORM 460</b> |
|                                                                                 | Page <u>3</u> of <u>9</u>      |
|                                                                                 | I.D. NUMBER<br><u>1310525</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Jim Griffith for Council 2009

**Contributions Received**

|                                                              | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|--------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 1. Monetary Contributions ..... <i>Schedule A, Line 3</i>    | \$ <u>1894</u>                                             | \$ <u>14824.12</u>                         |
| 2. Loans Received ..... <i>Schedule B, Line 3</i>            | <u>0</u>                                                   | <u>10000</u>                               |
| 3. SUBTOTAL CASH CONTRIBUTIONS ..... <i>Add Lines 1 + 2</i>  | \$ <u>1894</u>                                             | \$ <u>24824.12</u>                         |
| 4. Nonmonetary Contributions ..... <i>Schedule C, Line 3</i> | <u>0</u>                                                   | <u>100.27</u>                              |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... <i>Add Lines 3 + 4</i> | \$ <u>1894</u>                                             | \$ <u>24924.39</u>                         |

**Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections**

|                                     | 1/1 through 6/30 | 7/1 to Date |
|-------------------------------------|------------------|-------------|
| 20. Contributions Received \$ _____ | \$ _____         | \$ _____    |
| 21. Expenditures Made \$ _____      | \$ _____         | \$ _____    |

**Expenditures Made**

|                                                                    | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|--------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 6. Payments Made ..... <i>Schedule E, Line 4</i>                   | \$ <u>12087.64</u>                                         | \$ <u>31087.95</u>                         |
| 7. Loans Made ..... <i>Schedule H, Line 3</i>                      | <u>0</u>                                                   | <u>0</u>                                   |
| 8. SUBTOTAL CASH PAYMENTS ..... <i>Add Lines 6 + 7</i>             | \$ <u>12087.64</u>                                         | \$ <u>31087.95</u>                         |
| 9. Accrued Expenses (Unpaid Bills) ..... <i>Schedule F, Line 3</i> | <u>0</u>                                                   | <u>0</u>                                   |
| 10. Nonmonetary Adjustment ..... <i>Schedule C, Line 3</i>         | <u>0</u>                                                   | <u>0</u>                                   |
| 11. TOTAL EXPENDITURES MADE ..... <i>Add Lines 8 + 9 + 10</i>      | \$ <u>12087.64</u>                                         | \$ <u>31087.95</u>                         |

**Expenditure Limit Summary for State  
Candidates**

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy) | Total to Date |
|--------------------------------|---------------|
| ____/____/____                 | \$ _____      |
| ____/____/____                 | \$ _____      |

**Current Cash Statement**

|                                                                                    |                    |
|------------------------------------------------------------------------------------|--------------------|
| 12. Beginning Cash Balance ..... <i>Previous Summary Page, Line 16</i>             | \$ <u>11858.92</u> |
| 13. Cash Receipts ..... <i>Column A, Line 3 above</i>                              | <u>1894</u>        |
| 14. Miscellaneous Increases to Cash ..... <i>Schedule I, Line 4</i>                | <u>10</u>          |
| 15. Cash Payments ..... <i>Column A, Line 8 above</i>                              | <u>12081.64</u>    |
| 16. ENDING CASH BALANCE ..... <i>Add Lines 12 + 13 + 14, then subtract Line 15</i> | \$ <u>1681.28</u>  |

*If this is a termination statement, Line 16 must be zero.*

|                                                              |             |
|--------------------------------------------------------------|-------------|
| 17. LOAN GUARANTEES RECEIVED ..... <i>Schedule B, Part 2</i> | \$ <u>0</u> |
|--------------------------------------------------------------|-------------|

**Cash Equivalents and Outstanding Debts**

|                                                                          |                   |
|--------------------------------------------------------------------------|-------------------|
| 18. Cash Equivalents ..... <i>See instructions on reverse</i>            | \$ <u>0</u>       |
| 19. Outstanding Debts ..... <i>Add Line 2 + Line 9 in Column B above</i> | \$ <u>3500.00</u> |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

**Schedule A**  
**Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A

|                                                                                 |                            |
|---------------------------------------------------------------------------------|----------------------------|
| Statement covers period<br>from <u>910/18/2009</u><br>through <u>12/31/2009</u> | <b>CALIFORNIA FORM 460</b> |
|                                                                                 | Page <u>4</u> of <u>9</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Jim Griffith for Council 2009

I.D. NUMBER

1310525

| DATE RECEIVED | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|---------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/21/2009    | PG&E, B29H, FPPC 478163<br>[REDACTED]<br>San Francisco, CA 94177                                | <input type="checkbox"/> IND<br><input checked="" type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                               | 250                         | 250                                                    |                                       |
| 10/19/2009    | IBEW Local 332, FPPC #1298069<br>[REDACTED]<br>San Jose, CA 95125                               | <input type="checkbox"/> IND<br><input checked="" type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                               | 350                         | 350                                                    |                                       |
| 10/29/2009    | Steven Krow-Lucal<br>[REDACTED]<br>Sunnyvale, CA 94086                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Engineer, Sun Microsystems                                                                    | 100                         | 100                                                    |                                       |
| 10/24/2009    | SEIU Local 521, FPPC #1297708<br>[REDACTED]<br>Sacramento, CA 95814                             | <input type="checkbox"/> IND<br><input checked="" type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                               | 995                         | 995                                                    |                                       |
|               |                                                                                                 | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                               |                             |                                                        |                                       |

**SUBTOTAL \$ 1695**

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 1695
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 199
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$ 1894**

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

**Schedule B - Part 1  
Loans Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

|                                                                                 |                               |
|---------------------------------------------------------------------------------|-------------------------------|
| Statement covers period<br>from <u>910/18/2009</u><br>through <u>12/31/2009</u> | <b>CALIFORNIA FORM 460</b>    |
| Page <u>5</u> of <u>9</u>                                                       | I.D. NUMBER<br><b>1310525</b> |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Jim Griffith for Council 2009

| FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)                                                                                                                | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | (a)<br>OUTSTANDING BALANCE BEGINNING THIS PERIOD | (b)<br>AMOUNT RECEIVED THIS PERIOD | (c)<br>AMOUNT PAID OR FORGIVEN THIS PERIOD*                                                            | (d)<br>OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD | (e)<br>INTEREST PAID THIS PERIOD | (f)<br>ORIGINAL AMOUNT OF LOAN | (g)<br>CUMULATIVE CONTRIBUTIONS TO DATE                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|--------------------------------------------------|------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------|---------------------------------------------------------------|
| James Griffith<br>Sunnyvale, CA 94089<br>† <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | Engineering Manager,<br>deCarta, Inc.                                                      | \$ 3500                                          | \$ 0                               | <input type="checkbox"/> PAID<br>\$ _____<br><input type="checkbox"/> FORGIVEN<br>\$ _____             | \$ 3500<br>DATE DUE                                | 0 %<br>RATE<br>\$ 0              | \$ 3500<br>DATE INCURRED       | CALENDAR YEAR<br>\$ 10100.27<br>PER ELECTION**<br>\$ 13600.27 |
| James Griffith<br>Sunnyvale, CA 94089<br>† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC            | Engineering Manager,<br>deCarta, Inc.                                                      | \$ 10000                                         | \$ 0                               | <input type="checkbox"/> PAID<br>\$ _____<br><input checked="" type="checkbox"/> FORGIVEN<br>\$ 10,000 | \$ 0<br>DATE DUE                                   | 0 %<br>RATE<br>\$ 0              | \$ 10,000<br>DATE INCURRED     | CALENDAR YEAR<br>\$ 10100.27<br>PER ELECTION**<br>\$ 13600.27 |
| † <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC                                                     |                                                                                            | \$ _____                                         | \$ _____                           | <input type="checkbox"/> PAID<br>\$ _____<br><input type="checkbox"/> FORGIVEN<br>\$ _____             | \$ _____<br>DATE DUE                               | _____%<br>RATE<br>\$ _____       | \$ _____<br>DATE INCURRED      | CALENDAR YEAR<br>\$ _____<br>PER ELECTION**<br>\$ _____       |
| <b>SUBTOTALS \$</b>                                                                                                                                                                                    |                                                                                            |                                                  | 0 \$                               | 10000 \$                                                                                               | 3500 \$                                            | 0                                |                                |                                                               |

(Enter (e) on  
Schedule E, Line 3)

**Schedule B Summary**

- Loans received this period ..... \$ 0  
(Total Column (b) plus unitemized loans of less than \$100.)
- Loans paid or forgiven this period ..... \$ 10000  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)
- Net change this period. (Subtract Line 2 from Line 1.) ..... **NET \$ -10000**  
Enter the net here and on the Summary Page, Column A, Line 2.  
(May be a negative number)

†Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other (e.g., business entity)  
PTY - Political Party  
SCC - Small Contributor Committee

\*Amounts forgiven or paid by another party also must be reported on Schedule A.  
\*\* If required.

**Schedule E  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

|                               |             |                                |
|-------------------------------|-------------|--------------------------------|
| Statement covers period       |             | <b>CALIFORNIA<br/>FORM 460</b> |
| from                          | 910/18/2009 |                                |
| through                       | 12/31/2009  | Page <u>6</u> of <u>9</u>      |
| NAME OF FILER                 |             | I.D. NUMBER                    |
| Jim Griffith for Council 2009 |             | 1310525                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Jim Griffith for Council 2009

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)                                         | CODE OR | DESCRIPTION OF PAYMENT            | AMOUNT PAID                |
|-------------------------------------------------------------------------------------------------------------|---------|-----------------------------------|----------------------------|
| Olympic Mailing Services, Inc.<br>[REDACTED]<br>Santa Clara, CA 95054                                       | Lit     | Computer sort & NCOA Mailing List | 705.00                     |
| Olympic Mailing Services, Inc.<br>[REDACTED]<br>Santa Clara, CA 95054                                       | POS     | Postage expenses                  | 3208.82                    |
| USPS                                                                                                        | POS     | Postage                           | 237.60                     |
| <b>* Payments that are contributions or independent expenditures must also be summarized on Schedule D.</b> |         |                                   | <b>SUBTOTAL \$ 4151.42</b> |

**Schedule E Summary**

|                                                                                                                    |                          |
|--------------------------------------------------------------------------------------------------------------------|--------------------------|
| 1. Itemized payments made this period. (Include all Schedule E subtotals.)                                         | \$ 11884.49              |
| 2. Unitemized payments made this period of under \$100                                                             | \$ 203.15                |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)                   | \$ 0                     |
| 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) | <b>TOTAL \$ 12087.64</b> |

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE E (CONT.)

|                               |             |                            |
|-------------------------------|-------------|----------------------------|
| Statement covers period       |             | <b>CALIFORNIA FORM 460</b> |
| from                          | 910/18/2009 |                            |
| through                       | 12/31/2009  | Page <u>7</u> of <u>9</u>  |
| NAME OF FILER                 |             | I.D. NUMBER                |
| Jim Griffith for Council 2009 |             | 1310525                    |

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR | DESCRIPTION OF PAYMENT        | AMOUNT PAID    |
|---------------------------------------------------------------------|---------|-------------------------------|----------------|
| Sunnyvale Historical Society<br>[REDACTED]<br>Sunnyvale, CA 94087   | MTG     | Supporter event, location fee | 340.00         |
| Political Data, Inc<br>[REDACTED]<br>Burbank, CA 91507              | LIT     | Voter list for mailing        | 803.00         |
| Cosmopolitan Catering<br>[REDACTED]<br>Sunnyvale, CA 94087          | MTG     | Supporter event, catering     | 1578.67        |
| Beverages and More<br>[REDACTED]<br>Sunnyvale, CA 94087             | MTG     | Supporter event, supplies     | 348.39         |
| National Printing Company<br>[REDACTED]<br>San Jose, CA 95112       | LIT     | Palm Cards                    | 621.63         |
| <b>SUBTOTAL \$</b>                                                  |         |                               | <b>3691.69</b> |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

3691.69

**SUBTOTAL \$ 3691.69**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

|                                                                                  |                            |
|----------------------------------------------------------------------------------|----------------------------|
| Statement covers period<br>from <u>9/10/18/2009</u><br>through <u>12/31/2009</u> | <b>CALIFORNIA FORM 460</b> |
|                                                                                  | Page <u>8</u> of <u>9</u>  |
|                                                                                  | I.D. NUMBER<br>1310525     |

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER  
Jim Griffith for Council 2009

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|---------|------------------------|-------------|
| Graffik Dezine<br>██████████<br>Palo Alto, CA 94306                 | LIT     | Mailer design          | 600         |
| Pacific Printing<br>██████████<br>San Jose, CA 95112                | LIT     | Mailer printing        | 3441.38     |
|                                                                     |         |                        |             |
|                                                                     |         |                        |             |
|                                                                     |         |                        |             |

\*Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL \$ 4041.38



**Schedule I  
Miscellaneous Increases to Cash**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE I

Statement covers period  
from 9/10/18/2009  
through 12/31/2009

**CALIFORNIA  
FORM 460**

Page 9 of 9

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Jim Griffith for Council 2009

I.D. NUMBER  
1310525

| DATE RECEIVED | FULL NAME AND ADDRESS OF SOURCE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | DESCRIPTION OF RECEIPT | AMOUNT OF INCREASE TO CASH |
|---------------|---------------------------------------------------------------------------|------------------------|----------------------------|
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL \$ 0**

**Schedule I Summary**

|                                                                                                                                   |                 |
|-----------------------------------------------------------------------------------------------------------------------------------|-----------------|
| 1. Itemized increases to cash this period. ....                                                                                   | \$ 0            |
| 2. Unitemized increases to cash of under \$100 this period. ....                                                                  | \$ 10.00        |
| 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) .....                            | \$ 0            |
| 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) ..... | \$ 10.00        |
| <b>TOTAL</b>                                                                                                                      | <b>\$ 10.00</b> |